



JFW

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/773,096	
	Filing Date	February 5, 2004	
	First Named Inventor	Smith	
	Group Art Unit	3621	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	4804/13

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration for Utility or Design Patent Application <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Request for Corrected Filing Receipt; - Marked-up copy of Filing Receipt; - Copy of Express Mail receipt dated 2/5/04; and - Return receipt postcard.
Remarks		Customer No 29858

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Pristine Johannessen, Reg. No. 55,302
Signature	
Date	June 4, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: June 4, 2004			
Typed or printed name	Tabitha Crosier		
Signature		Date	June 4, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Smith

Application No: 10/773,096

Filed: February 5, 2004

For: System and Method for Facilitating
Customs Compliance in the
Importation of Merchandise

Art Unit: 3621

Examiner: Not Yet Assigned

Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

We noticed an error in the filing receipt for the above-identified application. The filing date is incorrect. Please correct the filing date as follows:

Change "02/04/2004" to --02/05/2004--.

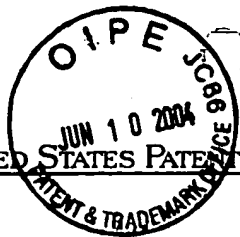
A copy of the filing receipt with the changes noted thereon in red is enclosed. Also enclosed is a copy of the Express Mail receipt stamped by the U.S. Postal Service on February 5, 2004, when the application was deposited. Issuance of a corrected filing receipt is respectfully requested.

Respectfully submitted,

Date: June 4, 2004

Pristine Johannessen

Pristine Johannessen, Reg. No. 55,302
BROWN RAYSMAN MILLSTEIN
FELDER & STEINER LLP
900 Third Avenue
New York, New York 10022
(212) 895-2000



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/773,096	02/04/2004 02/05/2004	3621	0.00	4804/13	33	40	9

CONFIRMATION NO. 3293

29858
 BROWN, RAYSMAN, MILLSTEIN, FELDER & STEINER LLP
 900 THIRD AVENUE
 NEW YORK, NY 10022

FILING RECEIPT



OC000000012551068

Date Mailed: 05/06/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Todd R. Smith, Corona del Mar, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/445,013 02/05/2003

Foreign Applications

If Required, Foreign Filing License Granted: 05/05/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

System and method for facilitating customs compliance in the importation of merchandise

Preliminary Class

705

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED MAY 11 2004	
Docket Date:	_____
Docket No.:	4804-13US
Attorney(s):	FJO/PJ/LB
Action:	_____
Action Date:	_____



BEST AVAILABLE COPY

ORIGIN (POSTAL USE ONLY)		DELIVERY (POSTAL USE ONLY)	
PO ZIP Code 10022	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second <input type="checkbox"/> Flat Rate Envelope	Delivery Attempt	Time
Date In 2/5/04	Postage \$ 17.85	Mo. Day	<input checked="" type="checkbox"/> AM <input type="checkbox"/> PM
Mo. Day Year Feb 5 2004	Time In <input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Delivery Attempt	Time
Weight 13.2 lbs	Int'l Alpha Country Code	Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Delivery Date	Time
Total Postage & Fees \$ 17.85		Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM
METHOD OF PAYMENT: Express Mail Corporate Acct. No. 4000000000000000		FEDERAL AGENCY ACCT. NO. OR POSTAL SERVICE ACCT. NO.	
FROM: (PLEASE PRINT) Pristine Johannesen BROWN RAYSMAN HILLSTEIN FELDER & STINER LLP 900 3RD AVE NEW YORK NY 10022-4728 [4804/13]		TO: (PLEASE PRINT) MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA VA 22313-1450	

Customer Copy
Label 11-F, June 2002

EXPRESS MAIL
UNITED STATES POSTAL SERVICE®

Post Office To Addresssee

WAIVER OF SIGNATURE (Domestic Only) Additional merchandise insurance is void if waiver of signature is requested. When delivery is made without obtaining signature of addressee or addressee's agent, delivery employee judges the article can be left in secure location and authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday

Customer Signature

PRESS HARD.
You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

EMS